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T-476 P003/004 F-110

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35195 7590 10/05/2006

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Stanley D. Ference III (Depositor's name)  
*[Signature]* (Signature)  
January 5, 2007 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/863,920	05/23/2001	Jean-Louis Blanchard	FR919990071US1	1618

TITLE OF INVENTION: SYSTEM AND METHOD FOR STOCK OPTIONS MARKET MANAGEMENT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	01/05/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
FELTEN, DANIEL S	3624	705-037000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

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2. For printing on the patent front page, list

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1 Ference &amp; Associates

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

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(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

International Business Machines Corporation Armonk, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Date January 5, 2007

Typed or printed name Stanley D. Ference III

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### USPTO FACSIMILE COVER SHEET

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To: Commissioner for Patents  
Fax Number: 571-273-2885  
Date: January 5, 2007  
Pages: 4 pages (including this cover sheet)

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#### MESSAGE:

Application No. 09/863,920

Fee Address Transmittal  
Issue Fee Transmittal (in duplicate)

Docket Number FR919990071US1 (590.106)

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